



**DEPARTMENT OF INSURANCE  
STATE OF ARIZONA**

*Financial Affairs Division – Compliance Section*  
2910 North 44<sup>th</sup> Street, Suite 210  
Phoenix, Arizona 85018-7269  
Phone: (602) 364-3998  
Fax: (602) 364-3989

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**INSTRUCTIONS FOR COMPLETION OF THE  
FORM C SUMMARY OF REGISTRATION STATEMENT**

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**TITLE PAGE**

All Form C Summary of Registration Statements shall be prepared with a proper title page as follows:

**FORM C**

**SUMMARY OF REGISTRATION STATEMENT**

FOR THE CALENDAR YEAR (insert year)

Filed with the Insurance Department of the State of Arizona

By

[Name of Registrant]

[NAIC No. or Federal I.D. No.]

On Behalf of the Following Insurance Companies:

NAME: }

NAIC NO.: } Provide for each company

STATE OF DOMICILE: }

DATE:

Name, Title, Address and Telephone Number of Individual to Whom Notices and Correspondence Concerning This Statement Should Be Addressed:

**SUMMARY REQUIREMENTS**

Furnish a brief description of all items in the current annual registration statement which represent changes from the prior year's annual registration statement. The description shall be in a manner as to permit the proper evaluation thereof by the Director, and shall include specific references to Item numbers in the annual registration statement and to the terms contained therein.

Changes occurring under Item 2 of Form B insofar as changes in the percentage of each class of voting securities held by each affiliate is concerned, need only be included where such changes are ones which result in ownership or holdings of ten percent (10%) or more of voting securities, loss or transfer of control, or acquisition or loss of partnership interest.

Changes occurring under Item 4 of Form B need only be included where: an individual is, for the first time, made a director or executive officer of the ultimate controlling person; a director or executive officer terminates his/her responsibilities with the ultimate controlling person; or in the event an individual is named president of the ultimate controlling person.

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If a transaction disclosed on the prior year's annual registration statement has been changed, the nature of such change shall be included. If a transaction disclosed on the prior year's annual registration statement has been effectuated, furnish the mode of completion and any flow of funds between affiliates resulting from the transaction.

The insurer shall furnish a statement that transactions entered into since the filing of the prior year's annual registration statement are not part of a plan or series of like transactions whose purpose it is to avoid statutory threshold amounts and the review that might otherwise occur.

**SIGNATURE AND CERTIFICATION**

Signature and certification required as follows:

**SIGNATURE**

Pursuant to the requirements of A.R.S. § 20-481 et seq. \_\_\_\_\_  
has caused this application to be duly signed on its behalf in the City of \_\_\_\_\_ and  
State of \_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_,  
\_\_\_\_\_.

(SEAL)

(Name of Applicant)

**BY** \_\_\_\_\_

(Name)

\_\_\_\_\_  
(Title)

Attest:

\_\_\_\_\_  
(Signature of Officer)

\_\_\_\_\_  
(Title)

**CERTIFICATION**

The undersigned deposes and says that (s)he has duly executed the attached application dated \_\_\_\_\_  
\_\_\_\_\_, for and on the behalf of \_\_\_\_\_; that

(Name of Applicant)

(s)he is the \_\_\_\_\_ of such company and that (s)he is  
(Title of Officer)

authorized to execute and file such instrument. Deponent further says that (s)he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information and belief.

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Type or print name)